

IN THE SPECIFICATION:

Please insert the following on page 1, beginning at line 1:

[0000] Applicant hereby claims priority under USC § 119 for United States provisional patent application number 60/536,666 filed January 14, 2004, titled APPARATUS, METHOD AND SYSTEM FOR A VERSATILE FINANCIAL MECHANISM AND TRANSACTION GENERATOR AND INTERFACE. This application also claims priority of PCT application PCT/US2005/001669 filed January 13, 2005, titled APPARATUS, METHOD AND SYSTEM FOR A VERSATILE FINANCIAL MECHANISM AND TRANSACTION GENERATOR AND INTERFACE. The entire contents of the aforementioned applications are herein incorporated by reference.

Please insert the following after paragraph 9 on page 5, beginning at line 14:

[0009.1] 1. A method of generating a versatile financial transaction, comprising: identifying a versatile financial transaction, wherein the versatile financial transaction is comprised of at least five subcomponent transactions; providing an order for processing the subcomponent transactions; wherein the subcomponent transactions are interrelated, wherein subcomponent transaction types specified in the order are based on the identified versatile financial transaction's subcomponent requirements, wherein subcomponent parameters specified in the order are based on ordering requirements made in identifying the versatile financial transaction, wherein the subcomponents are provided substantially simultaneously to a trade executing entity, identifying an underlying financial instrument for the versatile financial

transaction; effecting the execution of trades on the order's subcomponents substantially simultaneously from the order provision.

[0009.2] 2. A method of generating a versatile financial transaction, comprising: identifying a versatile financial transaction, wherein the versatile financial transaction is comprised of at least five subcomponent transactions; providing an order for processing the subcomponent transactions; wherein the subcomponent transactions are interrelated, wherein subcomponent transaction types specified in the order are based on the identified versatile financial transaction's subcomponent requirements.

[0009.3] 3. The method of claim 2, wherein a complement order is made available to a trading market.

[0009.4] 4. The method of claim 3, wherein the complement order is brokered.

[0009.5] 5. The method of claim 2, wherein the subcomponent transactions include over-the-counter options.

[0009.6] 6. The method of claim 2, further, comprising: identifying an underlying financial instrument for the identified versatile financial transaction.

[0009.7] 7. The method of claim 2, wherein subcomponent parameters specified in the order are based on ordering requirements made in identifying the versatile financial transaction.

[0009.8] 8. The method of claim 2, wherein some of the subcomponents' specified in the order require other subcomponents in the order to execute as specified, otherwise both sets of subcomponents will not execute.

[0009.9] 9. The method of claim 2, wherein some of the subcomponents' specified in the order require other subcomponents in an other order to execute as specified, otherwise both sets of subcomponents will not execute.

[0009.10] 10. The method of claim 7, wherein the ordering requirements are made by an investor.

[0009.11] 11. The method of claim 7, wherein the ordering requirements are made by a system.

[0009.12] 12. The method of claim 2, wherein the subcomponents are obtained substantially simultaneously.

[0009.13] 13. The method of claim 12, wherein the subcomponents are obtained by an trade executing entity.

[0009.14] 14. The method of claim 2, further, comprising: effecting the execution of trades on the order's subcomponents substantially simultaneously.

[0009.15] 15. The method of claim 2, wherein one order is populated for all subcomponents.

[0009.16] 16. The method of claim 2, wherein one order is provided for each subcomponent.

[0009.17] 17. The method of claim 2, wherein some subcomponents are amalgamated into one order and other orders are provided for each subcomponent.

[0009.18] 18. The method of claim 2, wherein the provision is to a server.

[0009.19] 19. The method of claim 2, wherein the provision is for execution of a trade.

[0009.20] 20. The method of claim 19, wherein the execution of the order's subcomponents occurs substantially simultaneously.

[0009.21] 21. The method of claim 2, wherein the versatile financial transaction is a SlingshotHedge.

[0009.22] 22. The method of claim 2, wherein the versatile financial transaction is a ratioed vertical.

[0009.23] 23. A method of generating a versatile financial transaction, comprising: obtaining an order for a versatile financial transaction, wherein the versatile financial transaction is comprised of at least five subcomponent transactions; processing the order for the subcomponent transactions; wherein the subcomponent transactions are interrelated, wherein subcomponent transaction types specified in the order are based on the versatile financial transaction's subcomponent requirements, wherein subcomponent parameters specified in the order are based on ordering requirements made for the versatile financial transaction, wherein the subcomponents are obtained substantially simultaneously at a trade executing entity, effecting the execution of trades on the order's subcomponents substantially simultaneously from the processed order.

[0009.24] 24. A method of generating a versatile financial transaction, comprising: obtaining an order for a versatile financial transaction, wherein the versatile financial transaction is comprised of at least five subcomponent transactions; processing the subcomponent transactions; wherein the subcomponent transactions are interrelated, wherein subcomponent

transaction types specified in the order are based on the versatile financial transaction's subcomponent requirements; effecting the execution of trades on the order's subcomponents.

[0009.25] 25. The method of claim 24, wherein a complement order is made available to a trading market.

[0009.26] 26. The method of claim 25, wherein the complement order is brokered.

[0009.27] 27. The method of claim 24, wherein the subcomponent transactions include over-the-counter options.

[0009.28] 28. The method of claim 24, further, comprising: identifying an underlying financial instrument for the identified versatile financial transaction.

[0009.29] 29. The method of claim 24, wherein subcomponent parameters specified in the order are based on ordering requirements made for the versatile financial transaction.

[0009.30] 30. The method of claim 24, wherein some of the subcomponents' specified in the order require other subcomponents in the order to execute as specified, otherwise both sets of subcomponents will not execute.

[0009.31] 31. The method of claim 24, wherein some of the subcomponents' specified in the order require other subcomponents in an other order to execute as specified, otherwise both sets of subcomponents will not execute.

[0009.32] 32. The method of claim 29, wherein the ordering requirements are made by an investor.

[0009.33] 33. The method of claim 29, wherein the ordering requirements are made by a system.

[0009.34] 34. The method of claim 24, wherein the subcomponents are obtained substantially simultaneously.

[0009.35] 35. The method of claim 34, wherein the subcomponents are obtained by an trade executing entity.

[0009.36] 36. The method of claim 24, further, comprising: executing trades on the order's subcomponents substantially simultaneously.

[0009.37] 37. The method of claim 24, wherein one order is populated for all subcomponents.

[0009.38] 38. The method of claim 24, wherein one order is provided for each subcomponent.

[0009.39] 39. The method of claim 24, wherein some subcomponents are amalgamated into one order and other orders are provided for each subcomponent.

[0009.40] 40. The method of claim 24, wherein the order is obtained at a server.

[0009.41] 41. The method of claim 24, wherein the versatile financial transaction is a SlingshotHedge.

[0009.42] 42. The method of claim 24, wherein the versatile financial transaction is a ratioed vertical.

[0009.43] 43. A method of generating a versatile financial transaction, comprising: identifying an underlying financial instrument; identifying a versatile financial transaction for the underlying financial instrument, wherein the versatile financial transaction is comprised of at least three subcomponent transactions; providing an order for processing the subcomponent transactions; wherein the subcomponent transactions are interrelated, wherein subcomponent transaction types specified in the order are based on the identified versatile financial transaction's subcomponent requirements, wherein subcomponent parameters specified in the order are based on ordering requirements made in identifying the versatile financial transaction, wherein the subcomponents are provided substantially simultaneously to trade executing entity, effecting the execution of trades on the order's subcomponents substantially simultaneously from the order provision.

[0009.44] 44. A method of generating a versatile financial transaction, comprising: identifying a versatile financial transaction, wherein the versatile financial transaction is comprised of at least three subcomponent transactions; providing an order for processing the subcomponent transactions; wherein the subcomponent transactions are interrelated, wherein subcomponent transaction types specified in the order are based on the identified versatile financial transaction's subcomponent requirements; identifying an underlying financial instrument for the versatile financial transaction.

[0009.45] 45. The method of claim 44, wherein a complement order is made available to a trading market.

[0009.46] 46. The method of claim 45, wherein the complement order is brokered.

[0009.47] 47. The method of claim 44, wherein the subcomponent transactions are over-the-counter options.

[0009.48] 48. The method of claim 44, wherein subcomponent parameters specified in the order are based on ordering requirements made in identifying the versatile financial transaction.

[0009.49] 49. The method of claim 44, wherein at least one of the subcomponents' specified in the order require other subcomponents in the order to execute as specified, otherwise both sets of subcomponents will not execute.

[0009.50] 50. The method of claim 48, wherein some of the subcomponents' parameters specified in the order require other subcomponents in an other order to execute as specified, otherwise both sets of subcomponents will not execute.

[0009.51] 51. The method of claim 48, wherein the ordering requirements are made by an investor.

[0009.52] 52. The method of claim 48, wherein the ordering requirements are made by a system.

[0009.53] 53. The method of claim 44, wherein the subcomponents are obtained substantially simultaneously.

[0009.54] 54. The method of claim 53, wherein the subcomponents are obtained by an trade executing entity.

[0009.55] 55. The method of claim 44, further, comprising: effecting the execution of trades on the order's subcomponents substantially simultaneously.

[0009.56] 56. The method of claim 44, wherein one order is populated for all subcomponents.

[0009.57] 57. The method of claim 44, wherein one order is provided for each subcomponent.

[0009.58] 58. The method of claim 44, wherein some subcomponents are amalgamated into one order and other orders are provided for each subcomponent.

[0009.59] 59. The method of claim 44, wherein the provision is to a server.

[0009.60] 60. The method of claim 44, wherein the provision is for execution of a trade.

[0009.61] 61. The method of claim 60, wherein the execution of the order's subcomponents occurs substantially simultaneously.

[0009.62] 62. The method of claim 44, wherein the versatile financial transaction is a SlingshotHedge.

[0009.63] 63. The method of claim 44, wherein the versatile financial transaction is a ratioed vertical.

[0009.64] 64. A method of generating a versatile financial transaction, comprising: obtaining an order for a versatile financial transaction for an underlying financial instrument, wherein the versatile financial transaction is comprised of at least three subcomponent transactions; processing the order for the subcomponent transactions; wherein the subcomponent

transactions are interrelated, wherein subcomponent transaction types specified in the order are based on the versatile financial transaction's subcomponent requirements, wherein subcomponent parameters specified in the order are based on ordering requirements made for the versatile financial transaction, wherein the subcomponents are obtained substantially simultaneously at a trade executing entity, effecting the execution of trades on the order's subcomponents substantially simultaneously from the processed order.

[0009.65] 65. A method of generating a versatile financial transaction, comprising: obtaining an order for a versatile financial transaction for an underlying financial instrument, wherein the versatile financial transaction is comprised of at least three subcomponent transactions; processing the order for the subcomponent transactions; wherein the subcomponent transactions are interrelated, wherein subcomponent transaction types specified in the order are based on the versatile financial transaction's subcomponent requirements; effecting the execution of trades on the order's subcomponents.

[0009.66] 66. The method of claim 65, wherein a complement order is made available to a trading market.

[0009.67] 67. The method of claim 66, wherein the complement order is brokered.

[0009.68] 68. The method of claim 65, wherein the subcomponent transactions are over-the-counter options.

[0009.69] 69. The method of claim 65, wherein subcomponent parameters specified in the order are based on ordering requirements made for the versatile financial transaction.

[0009.70] 70. The method of claim 65, wherein at least one of the subcomponents' specified in the order require other subcomponents in the order to execute as specified, otherwise both sets of subcomponents will not execute.

[0009.71] 71. The method of claim 69, wherein some of the subcomponents' parameters specified in the order require other subcomponents in an other order to execute as specified, otherwise both sets of subcomponents will not execute.

[0009.72] 72. The method of claim 69, wherein the ordering requirements are made by an investor.

[0009.73] 73. The method of claim 69, wherein the ordering requirements are made by a system.

[0009.74] 74. The method of claim 65, wherein the subcomponents are obtained substantially simultaneously.

[0009.75] 75. The method of claim 74, wherein the subcomponents are obtained by a trade executing entity.

[0009.76] 76. The method of claim 65, wherein execution of trades on the order's subcomponents occurs substantially simultaneously.

[0009.77] 77. The method of claim 65, wherein one order is populated for all subcomponents.

[0009.78] 78. The method of claim 65, wherein one order is provided for each subcomponent.

[0009.79] 79. The method of claim 65, wherein some subcomponents are amalgamated into one order and other orders are provided for each subcomponent.

[0009.80] 80. The method of claim 65, wherein the order is obtained at a server.

[0009.81] 81. The method of claim 65, wherein the versatile financial transaction is a SlingshotHedge.

[0009.82] 82. The method of claim 65, wherein the versatile financial transaction is a ratioed vertical.

[0009.83] 83. In memory, an interaction interface that is invokable by a processor, comprising: instruction signals in the memory, wherein the instruction signals are issuable by the processor to provide: a selection interface mechanism to specify a desired versatile financial transaction; an interaction interface mechanism to display subcomponents for the selected versatile financial transaction; an interaction interface mechanism to shift values associated with the selected versatile financial transaction; and a display area to display any of the mechanisms.

[0009.84] 84. The method of claim 83, wherein the selection interface mechanism lists versatile financial transactions graphically.

[0009.85] 85. The method of claim 83, wherein the selection interface mechanism lists versatile financial transactions textually.

[0009.86] 86. The method of claim 83, wherein the subcomponents are retrieved for display from a database based on the selected versatile financial transaction.

[0009.87] 87. The method of claim 83, wherein the values include strike price and strike times.

[0009.88] 88. A method of creating a versatile financial mechanism, comprising: selecting a versatile variant financial mechanism, wherein the versatile variant is comprised of multiple subcomponent transactions; looking-up the subcomponent transactions that comprise the selected versatile variant in a database based on the selected versatile variant financial mechanism, wherein the subcomponent transactions are interrelated, wherein the subcomponents are found based on the selected versatile financial transaction; identifying the availability of the subcomponent transactions; providing at least one order for processing the subcomponents, if the subcomponents are available.

[0009.89] 89. The method of claim 88, wherein a complement order is made available to a trading market.

[0009.90] 90. The method of claim 89, wherein the complement order is brokered.

[0009.91] 91. The method of claim 88, wherein subcomponent parameters specified in the order are based on ordering requirements made in selecting the versatile financial transaction.

[0009.92] 92. The method of claim 91, wherein subcomponent parameters specified in the order may be shifted with a user interface mechanism.

[0009.93] 93. The method of claim 91, wherein the ordering requirements are made by an investor.

[0009.94] 94. The method of claim 91, wherein the ordering requirements are made by a system.

[0009.95] 95. The method of claim 88, wherein the subcomponents are obtained substantially simultaneously.

[0009.96] 96. The method of claim 95, wherein the subcomponents are obtained by an trade executing entity.

[0009.97] 97. The method of claim 88, further, comprising: selecting an underlying financial mechanism for the versatile variant.

[0009.98] 98. The method of claim 88, wherein one order is populated for all subcomponents.

[0009.99] 99. The method of claim 88, wherein one order is provided for each subcomponent.

[0009.100] 100. The method of claim 88, wherein some subcomponents are amalgamated into one order and other orders are provided for each subcomponent.

[0009.101] 101. The method of claim 88, wherein the provision is to a server.

[0009.102] 102. The method of claim 88, wherein the provision is for execution of a trade.

[0009.103] 103. The method of claim 102, wherein the execution of the order's subcomponents occurs substantially simultaneously.

[0009.104] 104. The method of claim 88, wherein the versatile financial transaction includes at least three subcomponent transactions.

[0009.105] 105. The method of claim 88, wherein the versatile financial transaction is a SlingshotHedge.

[0009.106] 106. The method of claim 88, wherein the versatile financial transaction is a ratioed vertical.

[0009.107] 107. A method of creating a versatile financial mechanism, comprising: obtaining an order for a versatile variant financial mechanism, wherein the versatile variant was selected from an underlying financial instrument wherein the versatile variant is comprised of multiple subcomponent transactions; processing the order for the selected versatile variant financial mechanism's subcomponents, wherein the subcomponent transactions are interrelated, wherein the subcomponents are found based on the selected versatile financial transaction; identifying the availability of the subcomponent transactions; effecting the execution of trades on the order's subcomponents.

[0009.108] 108. The method of claim 107, wherein a complement order is made available to a trading market.

[0009.109] 109. The method of claim 108, wherein the complement order is brokered.

[0009.110] 110. The method of claim 107, wherein subcomponent parameters specified in the order are based on ordering requirements made in selecting the versatile financial transaction.

[0009.111] 111. The method of claim 110, wherein the ordering requirements are made by an investor.

[0009.112] 112. The method of claim 110, wherein the ordering requirements are made by a system.

[0009.113] 113. The method of claim 107, wherein the subcomponents are obtained substantially simultaneously.

[0009.114] 114. The method of claim 113, wherein the subcomponents are obtained by a trade executing entity.

[0009.115] 115. The method of claim 107, wherein one order is populated for all subcomponents.

[0009.116] 116. The method of claim 107, wherein one order is provided for each subcomponent.

[0009.117] 117. The method of claim 107, wherein some subcomponents are amalgamated into one order and other orders are provided for each subcomponent.

[0009.118] 118. The method of claim 107, wherein the order is obtained at a server.

[0009.119] 119. The method of claim 107, wherein the provision is for execution of a trade.

[0009.120] 120. The method of claim 119, wherein the execution of the order's subcomponents occurs substantially simultaneously.

[0009.121] 121. The method of claim 107, wherein the versatile financial transaction includes at least three subcomponent transactions.

[0009.122] 122. The method of claim 107, wherein the versatile financial transaction is a SlingshotHedge.

[0009.123] 123. The method of claim 107, wherein the versatile financial transaction is a ratioed vertical.

[0009.124] 124. A versatile financial mechanism generator, comprising: a memory; a processor disposed in communication with said memory, and configured to issue a plurality of processing instructions stored in the memory, wherein the instructions issue signals to: select an underlying financial mechanism; select a versatile variant financial mechanism, wherein the versatile variant is comprised of multiple subcomponent transactions; look-up the subcomponent transactions that comprise the selected versatile variant in a database, wherein the subcomponent transactions are interrelated; provide one or more orders for processing the subcomponents, if the subcomponents are available.

[0009.125] 125. A medium readable by a processor to dynamically select a network, comprising: instruction signals in the processor readable medium, wherein the instruction signals are issuable by the processor to: select an underlying financial mechanism; select a versatile variant financial mechanism, wherein the versatile variant is comprised of multiple subcomponent transactions; look-up the subcomponent transactions that comprise the selected versatile variant in a database, wherein the subcomponent transactions are interrelated; identify the availability of the subcomponent transactions; provide one or more orders for processing the subcomponents, if the subcomponents are available.

[0009.126] 126. A system to generate a versatile financial mechanism, comprising: means to select an underlying financial mechanism; means to select a versatile variant financial mechanism, wherein the versatile variant is comprised of multiple subcomponent transactions; means to look-up the subcomponent transactions that comprise the selected versatile variant in a database, wherein the subcomponent transactions are interrelated; means to identify the

availability of the subcomponent transactions; means to provide one or more orders for processing the subcomponents, if the subcomponents are available.